



# Reliability and performance optimization of pipelined real-time systems

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INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE

***Reliability and performance optimization of  
pipelined real-time systems***

Anne Benoit — Fanny Dufossé — Alain Girault — Yves Robert

**N° 7509**

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—— Embedded and Real Time Systems ——

 ***apport  
de recherche***



## Reliability and performance optimization of pipelined real-time systems

Anne Benoit , Fanny Dufossé , Alain Girault , Yves Robert

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**Abstract:** We consider pipelined real-time systems, commonly found in assembly lines, consisting of a chain of tasks executing on a distributed platform. Their processing is pipelined: each processor executes only one interval of consecutive tasks. We are therefore interested in minimizing both the input-output latency and the period. For dependability reasons, we are also interested in maximizing the reliability of the system. We therefore assign several processors to each interval of tasks, so as to increase the reliability of the system. We assume that both processors and communication links are unreliable and subject to transient failures, the arrival of which follows a constant parameter Poisson law. We also assume that the failures are statistically independent events. We study several variants of this multiprocessor mapping problem with several hypotheses on the target platform (homogeneous/heterogeneous speeds and/or failure rates). We provide NP-hardness complexity results, and optimal mapping algorithms for polynomial problem instances. Efficient heuristics are presented to solve the general case, and experimental results are provided.

**Key-words:** Pipelined real-time systems, interval mapping, multi-criteria (reliability, latency, period) optimization, complexity results.

# Optimisation de la fiabilité et des performances de systèmes pipelinés temps-réel

**Résumé :** Nous nous intéressons dans ce rapport aux systèmes pipelinés temps-réel, qui consistent en une chaîne de tâches à exécuter sur une plate-forme distribuée. Leur exécution est pipelinée: chaque processeur exécute seulement un intervalle de tâches consécutives. En conséquence, nous cherchons à minimiser à la fois la latence et la période. Pour des raisons de sûreté de fonctionnement, nous cherchons également à maximiser la fiabilité du système. Plusieurs processeurs sont donc alloués à chaque intervalle de tâches, afin d'améliorer la fiabilité du système. Nous supposons qu'aussi bien les processeurs que les liens de communications sont sujets à des défaillances transitoires, dont l'occurrence est soumise à une loi de Poisson. De plus, les défaillances sont des événements statistiquement indépendants. Nous étudions plusieurs variantes de ce problème d'ordonnancement multi-processeur, en changeant la plate-forme d'exécution (vitesses et/ou taux de défaillance homogènes/hétérogènes). Nous donnons des résultats de NP-complétude, et des algorithmes optimaux pour les instances du problème de complexité polynomiale. Des heuristiques efficaces sont présentées pour résoudre le cas général, ainsi que des résultats expérimentaux.

**Mots-clés :** systèmes pipelinés temps-réel, mappings par intervalles, optimisation multi-critère (fiabilité, latence, période), résultats de complexité.

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## 1 Introduction

Pipelined *real-time systems* are commonly found in assembly lines and are subject to strict *dependability* and *real-time constraints*. They consist of a chain of tasks executing on a distributed platform. Each task is a block of code with a known amount of work to be processed. The role of the first task of the chain is to acquire some data set from the environment (thanks to sensor drivers), to process it, and finally to transmit its result to the second task. Each subsequent task receives its input data from its immediately preceding task, processes it, and transmits its result to its immediately successor task, except the last task that transmits it to the environment (thanks to actuator drivers).

Tasks are assigned to processors of the platform using an *interval mapping*, which groups consecutive tasks of the linear chain and assigns them to the same processor. Interval mappings are more general than one-to-one mappings, which establish a unique correspondence between tasks and processors; they are very useful for reducing communication overheads, not to mention the many situations where there are more tasks than processors and where interval mappings are mandatory. The key performance-oriented metrics to determine the best interval mapping are the *period* and the *latency*. The period is the time interval between the beginning of the execution of two consecutive data sets. Equivalently, the inverse of the period is the *throughput*, which measures the aggregate rate of processing of data. The latency is the time elapsed between the beginning and the end of the execution of a given data set; hence, it measures the response time of the system for processing the data set entirely. Minimizing the latency is *antagonistic* to minimizing the period, and trade-offs should be found between these two criteria.

Besides real-time constraints, expressed as an upper bound on the period and/or the latency, pipelined real-time systems must also satisfy crucial *dependability constraints*, which are expressed as a lower bound on the *reliability* of the mapping. Increasing the reliability is achieved by replicating the intervals on several processors. Augmenting the replication level (defined as the average number of times each interval is replicated) is good for the reliability, but bad for the period and latency, because less processors will be available for executing the intervals of tasks. We thus have three antagonistic criteria: reliability, period, and latency.

We evaluate the reliability of a single task mapped onto a processor according to the classical model of Shatz and Wang [24], where each hardware component (processor or communication link) is *fail-silent* and is characterized by a *constant failure rate per time unit*  $\lambda$ : the reliability of a task of duration  $d$  is therefore  $e^{-\lambda d}$ . For an interval of several tasks mapped onto a single processor, we just have to sum up the task durations, hence obtaining  $e^{-\lambda D}$ , where  $D$  is the sum of the interval's task durations. For a mapping with replication, we compute the reliability by building the *Reliability Block Diagram* (RBD) [21, 3] corresponding to this mapping. Here we face the delicate issue that computing the reliability is exponential in the size of the mapping (or equivalently the size of the RBD). To solve this issue, we insert *routing operations* in the mapping to guarantee that the RBD is by construction serial-parallel, therefore allowing us to compute its reliability in linear time.

The models are detailed in Section 2 and we discuss related work in Section 3.

Our contribution is multifold. In Section 4, we show how to compute the different objectives (reliability, expected and worst-case latency, and expected and worst-case period) for a given multiprocessor mapping.

Then, we derive complexity results for homogeneous platforms in Section 5. We prove that:

1. computing a mono-criterion mapping that optimizes the reliability is *polynomial* (Section 5.1);
2. optimizing both the reliability and the period remains *polynomial* (Section 5.2);
3. the problem of optimizing both the reliability and the latency is *NP-complete* (Section 5.3);
4. the problem of assigning processors for a given partition of the chain of task in intervals is *polynomial* (Section 5.5).

Moreover, for homogeneous platforms, a linear program is provided to solve the problem of optimization of reliability for given bounds on period and latency in Section 5.4.

For heterogeneous platforms, we prove that the mono-criterion problem of optimizing the reliability is *NP-complete*, and hence all the multi-criteria mapping problems that include the reliability in their criteria are also *NP-complete* (Section 6).

We provide heuristics in Section 7 for the more general problem of optimizing the reliability under constraints on period and latency on a heterogeneous platform, and we conduct experiments on homogeneous and heterogeneous platforms to assess their performance (Section 8).

Finally, we state some concluding remarks and future research directions in Section 9.

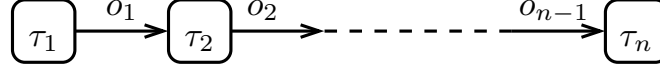
## 2 Framework

In this section, we detail the application model, the platform model, the failure model, and the replication model. We end with the formal definition of the mono- and multi-criteria multiprocessor mapping problems.

### 2.1 Application model

An application is a *chain* of  $n$  tasks  $\mathcal{C} = (\tau_i)_{1 \leq i \leq n}$ . Each task  $\tau_i$  is a block of code that (1) receives its input from its predecessor  $\tau_{i-1}$ , (2) computes a known amount of work, (3) and produces an output data set of a known size. Therefore, each task  $\tau_i$  is represented by the pair  $(w_i, o_i)$ , where  $w_i$  is the amount of work and  $o_i$  is the output data size. By convention,  $o_n = 0$  because  $\tau_n$  emits its result directly to the environment through actuator drivers. Specifying the size of the input data set required by a task is not necessary since, by definition of a chain, it is equal to the size of the output data set of its immediately preceding task. Figure 1 shows an example of a chain composed of  $n$  tasks.



Figure 1: Example of a chain of  $n$  tasks.

Executing  $\tau_i$  on a processor of speed  $s$  takes  $w_i/s$  units of time. Transmitting the result of  $\tau_i$  on a link of bandwidth  $b$  takes  $o_i/b$  units of time. Knowing the values  $w_i$  and  $o_i$  is not a critical assumption since worst-case execution time (WCET) analysis has been applied with success to real-life processors actually used in embedded systems. In particular, it has been applied to the most critical existing embedded system, namely the Airbus A380 avionics software running on the Motorola MPC755 processor [12, 25].

## 2.2 Platform model

The target platform consists of  $p$  processors connected by point-to-point communication links. We note  $\mathcal{P}$  the set of processors:  $\mathcal{P} = (P_u)_{1 \leq u \leq p}$ . We assume that communication links are *homogeneous*: this means that all links have the same bandwidth  $b$ . On the contrary, each processor  $P_u$  may have a different speed  $s_u$ . Such platforms correspond to networks of workstations with plain TCP/IP interconnects or other LANs.

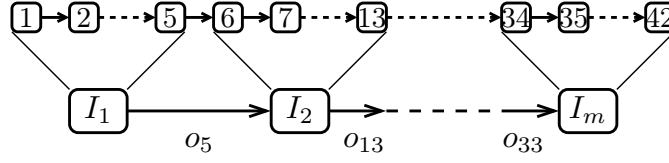
In order to derive a realistic communication model, we assume that the number of outgoing point-to-point connections of each processor is limited to  $\mathcal{K}$ . A given processor is thus capable of simultaneously sending messages to (and receiving messages from)  $\mathcal{K}$  other processors. Indeed, there is no physical device capable of sending, say, 100 messages to 100 distinct processors, at the same speed as if it was a single message. The output bandwidth of the sender's network card would be a limiting factor. Our assumption of bounded multi-port communications [17] is reasonable for a large range of platforms, from large-scale clusters to multi-core System-on-Chips (SoCs).

In addition, we assume that communications are *overlapped* with computations, that is, a processor can compute the current instance of task  $\tau_i$  and, in parallel, send to another processor the result of the previous instance of  $\tau_i$ . This model is consistent with current processor architectures where a SoC can include a main processor and several communication co-processors.

## 2.3 Interval mapping

The chain of tasks is executed repeatedly in a *pipelined manner* to achieve a better throughput. As a consequence, mapping the chain on the platform involves dividing the chain into  $m$  intervals of consecutive tasks, and assigning each processor to a unique interval. This technique is known as *interval mapping*. Figure 2 shows an example of a division of a chain of tasks into  $m$  intervals.

In a mapping without replication, each interval is assigned to a single processor. If the number of processors is greater than the number of tasks, then each interval can be of size one (that is, one task per interval), but this is rarely the case for real-life systems. Furthermore, having many small intervals is likely to decrease the period and the failure probability, but it will also increase the communication costs, and hence the latency: thus a trade-off is to be found.


 Figure 2: A chain of tasks divided into  $m$  intervals.

In a mapping with replication, each interval is assigned to several processors. Replication is crucial to increase the reliability of the system [13].

For each  $1 \leq j \leq m$ , the interval  $I_j$  is the set of consecutive tasks between indices  $f_j$  and  $l_j$ . Moreover,  $f_1 = 1$ ,  $\forall 2 \leq j \leq m$ ,  $f_j = l_{j-1} + 1$ , and  $l_m = n$ . The amount of work processed by  $I_j$  is therefore  $W_j = \sum_{\tau_i \in I_j} w_i = \sum_{i=f_j}^{l_j} w_i$ . The size of the output data set produced by interval  $I_j$  is that of its last task, that is,  $o_{l_j}$ .

## 2.4 Failure model

Both processors and communication links can fail, and they are *fail-silent*. Classically, we adopt the failure model of Shatz and Wang [24]: failures are *transient* and the maximal duration of a failure is such that it affects only the current operation executing onto the faulty processor, and not the subsequent operations (same for communication links); this is the “hot” failure model. Besides, the occurrence of failures on a processor (same for a communication link) follows a Poisson law with a constant parameter  $\lambda$ , called its *failure rate per time unit*. Modern fail-silent hardware components can have a failure rate around  $10^{-6}$  per hour.

Since communication links are homogeneous, we note  $\lambda_\ell$  their identical failure rate per time unit. Concerning the processors, we note  $\lambda_u$  the failure rate per time unit of the processor  $P_u$ , for each  $P_u$  in  $\mathcal{P}$ .

Moreover, failure occurrences are *statistically independent events*. Note that transient failures are the most common failures in modern processors, all the more when processor voltage is lowered to reduce the energy consumption, because, in that case, even very low energy particles are likely to create a critical charge leading to a transient failure [28].

The *reliability* of a system measures its continuity of service. It is defined as the probability that it functions correctly during a given time interval [2]. According to our model, the reliability of the processor  $P$  (resp. the communication link  $L$ ) during the duration  $d$  is  $r = e^{-\lambda d}$ , where  $\lambda$  is the failure rate per time unit of  $P$  or  $L$ . Conversely, the *probability of failure* of the processor  $P$  (resp. the communication link  $L$ ) during the duration  $d$  is  $f = 1 - r = 1 - e^{-\lambda d}$ . Hence, the reliability of the task  $\tau_i$  on processor  $P_u$  is:

$$r_{u,i} = e^{-\lambda_u w_i / s_u} . \quad (1)$$

Accordingly, the reliability of the interval  $I$  mapped on the processor  $P_u$  is:

$$r_{u,I} = e^{-\lambda_u W_j / s_u} = \prod_{\tau_i \in I} r_{u,i} . \quad (2)$$

Equations (1) and (2) show that platform heterogeneity may come from two factors: (i) processors having different speeds, and (ii) processors having different failure rates. We say that the platform is *homogeneous* if all the processors have the same speed  $s$  and the same failure rate  $\lambda$  (hence the reliability and the execution time of an interval no longer depends on the processor it is assigned to, and we use in this case the notation  $r_i$  instead of  $r_{u,i}$  in Equation (1)); otherwise, we say that the platform is *heterogeneous*.

Finally, we let  $r_{comm,i} = e^{-\lambda_\epsilon o_i / b}$  denote the reliability of the  $i$ -th communication.

## 2.5 Replication model

We use *spatial redundancy* to increase the reliability of a system: in other words, we replicate the intervals on several processors. Figure 3 shows an example of mapping by interval with spatial redundancy: the interval  $I_1$  is mapped on the processors  $\{P_1, P_2, P_3\}$ , the interval  $I_2$  is mapped on the processors  $\{P_4, P_5\}$ , and so on until the interval  $I_m$ , which is mapped on the processors  $\{P_{p-1}, P_p\}$ . Concerning the communications, the data-dependency  $o_{l_1}$  is mapped on the point-to-point links  $\{L_{14}, L_{15}, L_{24}, L_{25}, L_{34}, L_{35}\}$ , and so on.

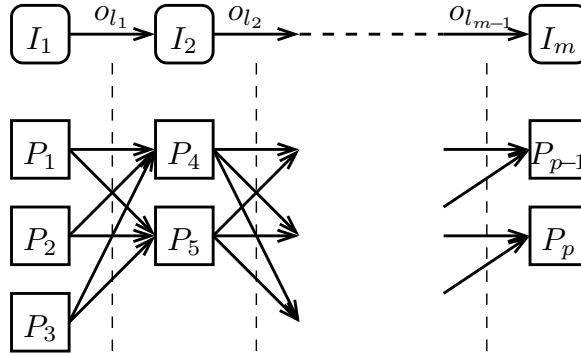


Figure 3: An example of interval mapping.

To increase the reliability, *each* processor of a given interval communicates with *each* processor of the next interval. Specifically, for any  $1 \leq j \leq m-1$ , all the processors executing interval  $I_j$  send their result to all the processors executing the next interval  $I_{j+1}$ . Because of the bounded number  $\mathcal{K}$  of possible communications (see Section 2.2), the maximum number of replicas per interval is also limited to  $\mathcal{K}$ .

## 2.6 Multiprocessor mapping problem

We study several variants of the multiprocessor interval mapping problem. The inputs of the problem are a chain of  $n$  tasks  $\mathcal{C} = (\tau_i)_{1 \leq i \leq n}$ , a hardware platform of  $p$  processors  $\mathcal{P} = (P_u)_{1 \leq u \leq p}$ , and a bound  $\mathcal{K}$  on the maximal number of replications for each interval of tasks. The output is an interval mapping of  $\mathcal{C}$  onto  $\mathcal{P}$ , that is, a distribution of  $\mathcal{C}$  into  $m$  intervals and an assignment of each interval to at most  $\mathcal{K}$  processors of  $\mathcal{P}$ , such that each processor executes only

one interval. Each variant of the mapping problem optimizes a different set of criteria among the following:

- the reliability,
- the expected input-output latency,
- the worst-case input-output latency,
- the expected period,
- the worst-case period.

### 3 Related work

Several papers have dealt with workflow applications the dependence graph of which is a linear chain. The pioneering papers [26, 27] investigate bi-criteria (period, latency) optimization of such workflows on homogeneous platforms. An extension of these results to heterogeneous platforms is provided in [6, 7].

All the previous papers deal with fully reliable platforms. In our previous work [5], we studied the (reliability, latency) mapping problem with fail-silent processors. The model in [5] is quite different, and much more crude, than the one of this paper: each processor has an absolute probability of failing, independent of task durations, and the faults are unrecoverable. To the best of our knowledge, we are not aware of other published work on optimizing linear chain workflows for reliability. However, many papers have dealt with a directed acyclic graph (DAG) instead of a pipelined workflow, be it a fully general DAG [10], a linear chain [23], or even independent tasks [18, 23]. The closest to our present work is [23]: it contains a short section on linear chains, with a mono-criterion dynamic programming algorithm for optimizing the reliability, which is similar to our Algorithm 1 (see Section 5.1).

Finally, the specific problem of bi-criteria (length, reliability) multiprocessor scheduling has also been addressed in [9, 1, 16, 22, 14, 15] for general DAGs of operations, but except [1, 14, 15], these papers do not replicate the operations and have thus a very limited impact on the reliability. Moreover, none of them consider chains of tasks and interval mappings, and therefore they attempt to minimize the length of the mapping without distinguishing between the period and the latency (the latter one being equivalent to the schedule length).

### 4 Evaluation of a given mapping

In this section, we detail the computation of the different objectives (reliability, expected and worst-case latency, and expected and worst-case period) for a given mapping. We compute the reliability of a mapping by building its *reliability block diagram* (RBD) [21, 3]. Formally, a RBD is an *acyclic oriented graph*  $(N, E)$ , where each node of  $N$  is a *block* representing an element of the system, and each arc of  $E$  is a *causality link* between two blocks. Two particular connection points are its *source*  $S$  and its *destination*  $D$ . An RBD is *operational* if and only if there exists at least one operational path from  $S$  to  $D$ . A path is operational if and only if all the blocks in this path are operational. The probability that a block be operational is its reliability. By construction, the

probability that a RBD is operational is equal to the reliability of the system that it represents.

In our case, the system is the multiprocessor interval mapping, possibly partial, of the application on the platform. A mapping is *partial* if not all intervals have been mapped yet, but of course those intervals that are mapped are such that all their predecessors are also mapped. Each block of the RBD represents an interval  $I_j$  placed on a processor or a data-dependency  $o_{l_j}$  between the two intervals  $I_j$  and  $I_{j+1}$  placed on a communication link. The reliability of a block is therefore computed according to Equation (2).

Computing the reliability in this way assumes that the occurrences of the failures are statistically independent events (see Section 2.4). Without this hypothesis, the fact that some blocks belong to several paths from  $S$  to  $D$  makes the computation of the reliability very complex. Concerning hardware faults, this hypothesis is reasonable, but this would not be the case for software faults [20].

The main drawback of this approach is that the computation of the reliability is, in general, exponential in the size of the RBD. When the schedule is without replication, the RBD is *serial* (i.e., there is a single path from  $S$  to  $D$ ) so the computation of the reliability is linear in the size of the RBD. But when the schedule is with replications, the RBD has no particular form, so the computation of the reliability is exponential in the size of the RBD. The reason is that processors are heterogeneous: the completion dates of a given interval on its assigned processors are different, so the reception dates by the processors of the next interval are different. This is true even when the application is a chain of intervals rather than a general graph. See Figure 4 for an illustration, where the RBD corresponding to the mapping has no specific form.

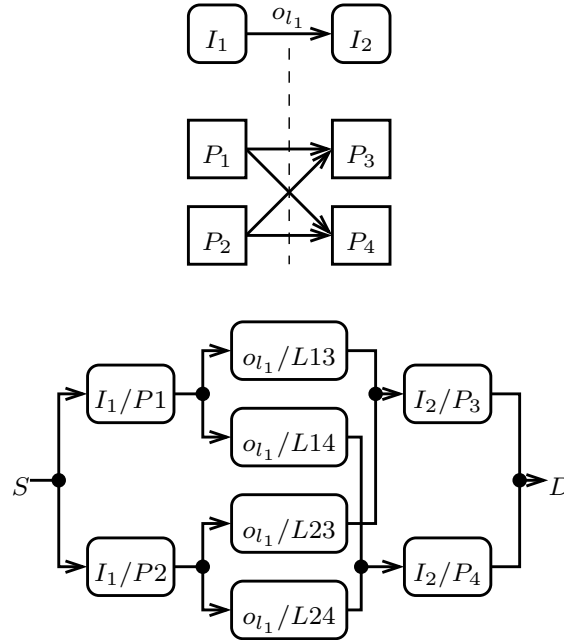


Figure 4: A mapping of two intervals ( $I_1$  and  $I_2$ ) on four processors ( $P_1$  to  $P_4$ ) and its RBD which has no particular form.

One solution for computing the reliability of the mapping of Figure 4 involves enumerating all the *minimal cut sets* of its RBD [19]. A *cut set* in a RBD is a set of blocks  $C$  such that there is no path from  $S$  to  $D$  if all the blocks of  $C$  are removed from the RBD. A cut  $C$  is *minimal* if, whatever the block that is removed from it, the resulting set is not a cut anymore. It follows that the reliability of a minimal cut set is the reliability of all its blocks put in parallel. The reliability of the mapping can then be approximated by the reliability of the alternative RBD composed of all the minimal cut sets put in sequence. Because this RBD is *serial-parallel*, this computation is linear in the number of minimal cut sets. The problem is that, in general, the number of minimal cuts is exponential in the size of the RBD [19].

For this reason, we follow the approach of [14] and we insert *routing operations* between the intervals to make sure that the RBD representing a mapping is always *serial-parallel*, therefore making tractable the computation of the reliability. This is illustrated in Figure 5, where a routing operation  $R$  has been mapped on processor  $P_5$  and the RBD corresponding to the mapping is serial-parallel; as a consequence, the reliability of this mapping can be computed in a linear time w.r.t. the number of intervals.

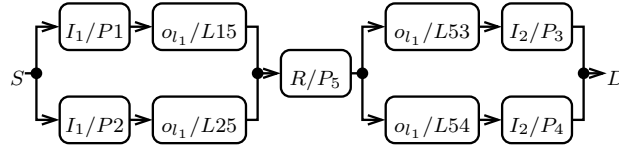


Figure 5: The serial-parallel RBD obtained from the same mapping as in Figure 4 but with an additional routing operation  $R$ .

Routing operations can be mapped on *any* processor. For instance, in the RBD of Figure 5,  $R$  could have been mapped on  $P_1$  instead of  $P_5$ , therefore avoiding the need for the communication  $(o_{l_1}/L_{15})$ . Also, routing operations are assumed to be executed in 0 time units [14]. As a consequence, for any processor  $P_u$ , the reliability of the block  $(R/P_u)$  is 1.

As we have advocated, inserting routing operations yields the huge advantage of making the reliability computation linear in time. This comes at a cost in the execution time of the system because of the increased number of communications. For instance, in Figure 5,  $o_{l_1}$  is transmitted twice before reaching  $I_2$ . However, it has been shown in [14] that the overhead incurred by the routing operations is reasonable (only +3.88 % on average).

For an interval  $I$  of weight  $W$  mapped on the subset of processors  $\mathcal{P}_I$ , let  $ec$  be its expected time of computation, and let  $wc$  be its worst-case execution time (by the slowest processor of  $\mathcal{P}_I$ ). Assume that the processors in  $\mathcal{P}_I$  are ordered according to their speed, from the fastest  $P_1$  to the slowest  $P_t$ : that is,  $\forall 1 \leq u < t$ , we have  $s_u \geq s_{u+1}$ . Then, the expected and worst-case execution

times of  $I$  on  $\mathcal{P}_I$  are:

$$ec(I, \mathcal{P}_I) = W \times \frac{\sum_{u=1}^t \left( \frac{1}{s_u} r_{u,I} \prod_{v=1}^{u-1} (1 - r_{v,I}) \right)}{1 - \prod_{u=1}^t (1 - r_{u,I})} ; \quad (3)$$

$$wc(I, \mathcal{P}_I) = \frac{W}{s_t} . \quad (4)$$

Equation (3) sums up, for each  $P_u$ , the case where the first  $u-1$  fastest processors fail, and the  $u$ -th one is successful. Then, for a mapping  $(I_1, \mathcal{P}_1), \dots, (I_m, \mathcal{P}_m)$ , the expected latency  $EL$  and the expected period  $EP$  are:

$$EL = \sum_{i=1}^m ec(I_i, \mathcal{P}_i) + \frac{o_i}{b} ; \quad (5)$$

$$EP = \max \left\{ \max_{1 \leq i \leq m} \left\{ \frac{o_i}{b} \right\}, \max_{1 \leq i \leq m} ec(I_i, \mathcal{P}_i) \right\} . \quad (6)$$

The worst-case latency  $WL$  and the worst-case period  $WP$  are defined similarly, but with the worst-case cost of intervals (Equation (4)) instead of the expected cost (Equation (3)):

$$WL = \sum_{i=1}^m wc(I_i, \mathcal{P}_i) + \frac{o_i}{b} ; \quad (7)$$

$$WP = \max \left\{ \max_{1 \leq i \leq m} \left\{ \frac{o_i}{b} \right\}, \max_{1 \leq i \leq m} wc(I_i, \mathcal{P}_i) \right\} . \quad (8)$$

Finally, thanks to the routing operations, the reliability of the mapping  $(I_1, \mathcal{P}_1), \dots, (I_m, \mathcal{P}_m)$  is:

$$r = \prod_{i=1}^t \left( 1 - \prod_{P_u \in \mathcal{P}_i} (1 - r_{comm,i-1} \times r_{u,I_i} \times r_{comm,i}) \right) . \quad (9)$$

Equation (9) above is computed according to the generic form of the RBD of Figure 5. To account for the fact that the first interval  $I_1$  has no incoming communication, we just set  $o_0 = 0$ , hence  $r_{comm,0} = 1$ . The same occurs for the outgoing communication of the last interval  $I_m$ . Finally, routing operations do not appear in Equation (9) since their reliability is always equal to 1.

## 5 Complexity results for homogeneous platforms

In this section, we provide optimal polynomial algorithms for the mono-criterion reliability optimization problem, and then for the bi-criteria (reliability, period) optimization problem. Then, we prove the NP-completeness of the bi-criteria (reliability, latency) optimization problem. We provide an integer linear program to solve the tri-criteria problem and a polynomial time algorithm to optimally allocate processors for a given partition of the chain of tasks in intervals. Note that on homogeneous platforms, the expected latency and worst-case latency are the same. This also holds true for the expected period and worst-case period.

### 5.1 Reliability optimization

We present a mono-criterion polynomial-time algorithm that maximizes the reliability of a given chain of tasks on a given homogeneous platform. Algorithm 1 is a dynamic programming algorithm. It is a simplified version of Algorithm 2 for bi-criteria (reliability, period) optimization, which we present in the next section.

---

**Algorithm 1:** Optimal algorithm for reliability optimization on fully homogeneous platforms.

---

**Data:** a number  $p$  of fully homogeneous processors of failure rate  $\lambda$ , a list  $A$  of  $n$  tasks of sizes  $w_i$ , and a maximal number  $\mathcal{K}$  of replications

**Result:** a reliability  $r$

```

1 for  $k = 1$  to  $\min\{\mathcal{K}, p\}$  do
2    $F(1, k) = 1 - (1 - r_{comm,0} \times r_1 \times r_{comm,1})^k$  ;
3 end
4  $F(0, 0) = 1$ ;
5 for  $i = 1$  to  $n$  do
6    $F(i, 0) = 0$ ;
7 end
8 for  $i = 2$  to  $n$  do
9   for  $k = i$  to  $p$  do
10    
$$F(i, k) = \max_{1 \leq j < i, 1 \leq q \leq \min\{\mathcal{K}, k\}} \left\{ F(j, k-q) \times \right.$$


$$\left. \left( 1 - \left( 1 - r_{comm,j-1} \times \prod_{j \leq l \leq i} r_l \times r_{comm,i} \right)^q \right) \right\} ;$$

11   end
12 end
13  $r = \max_{1 \leq q \leq p} F(n, q)$ ;
```

---

**Theorem 1.** Algorithm 1 computes in time  $O(n^2 p^2)$  the optimal mapping for reliability optimization on fully homogeneous platforms.

*Proof.* In this algorithm,  $F(i, k)$  is the optimal reliability when mapping the first  $i$  tasks on  $k$  processors, and it is computed iteratively with the dynamic programming procedure.  $\square$

### 5.2 Reliability/period optimization

We now present a bi-criteria (reliability, period) polynomial-time algorithm that optimizes the reliability of a mapping given a bound on the period. Recall that, for homogeneous platforms, the worst-case period and the expected period are the same.



**Theorem 2.** *Algorithm 2 computes in time  $O(n^2p^2)$  the optimal mapping for reliability optimization on fully homogeneous platforms, when a bound on the period is given.*

*Proof.* In this algorithm,  $F(i, k)$  is again the optimal reliability when mapping the first  $i$  tasks on  $k$  processors. The dynamic programming procedure of Algorithm 1 has been modified to account for the period bound.  $\square$

Finally, we observe that the converse problem, namely optimizing the period when a bound on the reliability is enforced, is polynomial too. We can simply perform a binary search on the period and repeatedly execute Algorithm 2 until the optimal value is found.

---

**Algorithm 2:** Optimal algorithm for reliability optimization on fully homogeneous platforms, when a bound on the period is given.

---

**Data:** a number  $p$  of fully homogeneous processors of failure rate  $\lambda$ , a list  $A$  of  $n$  tasks of sizes  $w_i$ , a maximal number  $\mathcal{K}$  of replications, and an upper-bound  $P$  on the period

**Result:** a reliability  $r$

```

1 for  $k = 1$  to  $\min\{\mathcal{K}, p\}$  do
2   if  $\max\left(\frac{o_0}{b}, \frac{w_1}{s}, \frac{o_1}{b}\right) \leq P$  then
3      $F(1, k) = \left(1 - (1 - r_{comm,0} \times r_1 \times r_{comm,1})^k\right)$ ;
4   else
5      $F(1, k) = 0$ ;
6   end
7 end
8 for  $i = 1$  to  $n$  do
9    $F(i, 0) = 0$ ;
10 end
11 for  $i=2$  to  $n$  do
12   for  $k=i$  to  $p$  do
13     
$$F(i, k) = \max_{1 \leq j < i, 1 \leq q \leq \min\{\mathcal{K}, k\}} \left\{ F(j, k-q) \times \right.$$


$$\left. \left( 1 - \left( 1 - r_{comm,j} \times \prod_{j < l \leq i} r_l \times r_{comm,i} \right)^q \right) \right.$$


$$\left. \mid \max\left(\frac{o_j}{b}, \frac{\sum_{v=j+1}^i w_v}{s}, \frac{o_i}{b}\right) \leq P \right\}$$
;
14   end
15 end
16  $r = \max_{1 \leq q \leq p} F(n, q)$ ;
```

---

### 5.3 Reliability/latency optimization

We now prove the NP-completeness of the bi-criteria (reliability, latency) optimization problem on homogeneous platforms. As for the period, there is no difference between the worst-case latency and the expected latency on such platforms.

**Theorem 3.** *The problem of optimizing the reliability on homogeneous platforms, with a bound on the latency, is NP-complete.*

*Proof.* Consider the associated decision problem: given a homogeneous platform, a chain of tasks, a bound  $\mathcal{K}$  on the number of replications, a reliability  $r$ , and a latency  $L$ , does there exist a mapping whose reliability is at least  $r$  and whose latency is at most  $L$ ? This problem is obviously in NP: given a mapping, it is easy to compute its reliability and latency, and to check that it is valid in polynomial time.

To establish the completeness, we use a reduction from 2-PARTITION (instance  $\mathcal{I}_1$ ): given a set  $A$  of  $n$  numbers  $a_1, \dots, a_n$ , does there exist a subset  $A' \subset A$  such that  $\sum_{a \in A'} a = \sum_{a \notin A'} a$ . Let  $T = \frac{1}{2} \sum_{a \in A} a$ . Let  $a_{\min} = \min_{1 \leq i \leq n} \{a_i\}$  and  $a_{\max} = \max_{1 \leq i \leq n} \{a_i\}$ . We build the following instance  $\mathcal{I}_2$  of our problem with  $3n + 1$  tasks and  $6n$  identical processors:

- $\mathcal{K} = 2$  and  $\lambda = 10^{-8} 10^{-n} a_{\max}^{-3n}$ ;
- $s = b = 1$  (unit processor speed and link bandwidth);
- $B = \frac{1}{2a_{\min}} \left( \frac{n}{4} + na_{\max}^2 + T + 2 \right)$ ;
- $\forall 1 \leq i \leq n, w_{3i-2} = B, w_{3i-1} = \frac{1}{2}$  and  $w_{3i} = a_i$ ;
- $w_{3n+1} = B$ ;
- $\forall 1 \leq i \leq n, r_i = e^{-\lambda w_i}$  and  $r_{\text{comm},i} = 1$ ;
- $\forall 1 \leq i \leq n, o_{3i-2} = 0, o_{3i-1} = a_i$  and  $o_{3i} = 0$ ;
- $L = (n + 1)B + \frac{n}{2} + 3T$ ;
- it follows that the reliability of the mapping is

$$r = \left( 1 - (1 - e^{-\lambda B})^2 \right)^{n+1} \times \left( 1 - \lambda^2 \left( \frac{n}{4} + \sum_{1 \leq i \leq n} a_i^2 + T \right) - \lambda^4 \times 2^{2n} (a_{\max} + 1)^n \right).$$

The size of instance  $\mathcal{I}_2$  is polynomial in the size of  $\mathcal{I}_1$ . We now show that  $\mathcal{I}_1$  has a solution if and only if  $\mathcal{I}_2$  has a solution. Suppose first that  $\mathcal{I}_1$  has a solution  $A'$ . Then we propose the following solution for  $\mathcal{I}_2$ :

- all intervals are replicated 2 times;
- any task of size  $B$  make up an interval;
- for all  $1 \leq i \leq n$ , if  $a_i \in A'$ , then  $T_{3i-1}$  and  $T_{3i}$  are assigned to two different intervals, else they constitute one single interval.

This yields the following costs for the latency:

- the sum of computation costs does not depend of the mapping:  $(n+1)B + \frac{n}{2} + 2T$ ;
- for each  $a_i \in A'$ , we add a communication cost  $a_i$ .

We thus obtain a latency  $L = (n+1)B + \frac{n}{2} + 3T$ . Concerning the reliability, it is the product of the reliability of all intervals:

- the reliability of intervals of size  $B$  is  $(1 - (1 - e^{-\lambda B})^2)$ ;
- for each  $a_i \in A'$ , the product of the reliability of the two intervals for tasks  $T_{3i-1}$  and  $T_{3i}$  is  $(1 - (1 - e^{-\frac{\lambda}{2}})^2)(1 - (1 - e^{-\lambda a_i})^2)$ , which is greater than  $(1 - \frac{\lambda^2}{4})(1 - \lambda^2 a_i^2)$ ;
- for each  $a_i \notin A'$ , the reliability of the interval for tasks  $T_{3i-1}$  and  $T_{3i-1}$  is  $(1 - (1 - e^{-\lambda(a_i + \frac{1}{2})})^2)$ , which is greater than  $1 - \lambda^2(a_i + \frac{1}{2})^2$ .

We thus obtain, for the product of all these reliabilities,

$$\begin{aligned}
 r' &= (1 - (1 - e^{-\lambda B})^2)^n \times \\
 &\quad \prod_{a_i \in A'} (1 - (1 - e^{-\frac{\lambda}{2}})^2)(1 - (1 - e^{-\lambda a_i})^2) \times \\
 &\quad \prod_{a_i \notin A'} \left(1 - \left(1 - e^{-\lambda(a_i + \frac{1}{2})}\right)^2\right) \\
 &\geq (1 - (1 - e^{-\lambda B})^2)^n \times \\
 &\quad \prod_{a_i \in A'} (1 - \frac{\lambda^2}{4})(1 - \lambda^2 a_i^2) \times \\
 &\quad \prod_{a_i \notin A'} (1 - \lambda^2(a_i + \frac{1}{2})^2) \\
 &\geq (1 - (1 - e^{-\lambda B})^2)^n \times \\
 &\quad \left(1 - \lambda^2\left(\frac{n}{4} + \sum_{1 \leq i \leq n} a_i^2 + T\right) - \lambda^4 2^{2n} (a_{max} + 1)^n\right)
 \end{aligned}$$

Suppose now that  $\mathcal{I}_2$  has a solution. The exponent in the reliability bound implies that any interval is replicated at least 2 times, and the bound on replication is 2. This means that all intervals are replicated exactly 2 times. Suppose that one of the tasks of size  $B$  is computed together with another task in the same interval. This yields the bound on reliability:

$$\begin{aligned}
 r' &< (1 - (1 - e^{-\lambda B})^2)^n (1 - (1 - e^{-\lambda(B+a_{min})})^2) \\
 &< (1 - (1 - e^{-\lambda B})^2)^{n+1} \times \frac{1 - \lambda^2(B+a_{min})^2}{1 - \lambda^2 B^2 (1 - \frac{\lambda B}{2})^2} \\
 &< (1 - (1 - e^{-\lambda B})^2)^{n+1} (1 - \lambda^2(B + a_{min})^2) \\
 &\quad (1 + \lambda^2 B^2 (1 - \frac{\lambda B}{2})^2 + 2\lambda^4 B^4 (1 - \frac{\lambda B}{2})^4) \\
 &< (1 - (1 - e^{-\lambda B})^2)^{n+1} \times (1 - 2\lambda^2 B a_{min} + 7\lambda^4 B^4) \\
 &< r
 \end{aligned}$$

This means that any task of size  $B$  makes up an interval. Let  $A'$  be the set of values  $i$  such that  $T_{3i-1}$  and  $T_{3i}$  are not in the same interval. We obtain the following formulas:

- For the reliability:

$$\begin{aligned}
 r &\leq (1 - (1 - e^{-\lambda B})^2)^n \times \\
 &\quad \prod_{a_i \in A'} (1 - (1 - e^{-\frac{\lambda}{2}})^2) (1 - (1 - e^{-\lambda a_i})^2) \times \\
 &\quad \prod_{a_i \notin A'} \left( 1 - \left( 1 - e^{-\lambda(a_i + \frac{1}{2})} \right)^2 \right) \\
 &\leq (1 - (1 - e^{-\lambda B})^2)^n \times \\
 &\quad \prod_{a_i \in A'} (1 - \frac{\lambda^2}{4} (1 - \frac{\lambda}{4})^2) (1 - \lambda^2 a_i^2 (1 - \lambda a_i)^2) \times \\
 &\quad \prod_{a_i \notin A'} (1 - (\lambda^2 + \frac{\lambda^2}{4} + \lambda^2 a_i) (1 - \frac{\lambda}{2} (a_i + \frac{1}{2}))^2) \\
 &\leq 1 - \lambda^2 (\frac{n}{4} + \sum_{1 \leq i \leq n} a_i^2 + \sum_{a_i \notin A'} a_i) + \lambda^3 10^n a_{max}^{3n}
 \end{aligned}$$

- For the latency:

$$(n+1)B + \frac{n}{2} + \sum_{a_i \in A'} a_i + 2T \leq (n+1)B + \frac{n}{2} + 3T$$

This means  $\sum_{a_i \notin A'} a_i \leq T$  and  $\sum_{a_i \in A'} a_i \leq T$ . Hence,  $A'$  is a solution for  $\mathcal{I}_1$ . This concludes the proof.  $\square$

We conclude that, on homogeneous platforms, the bi-criteria (reliability, period) problem is polynomial, while the bi-criteria (reliability, latency) problem is NP-complete. As a consequence, the tri-criteria (reliability, period, latency) problem is NP-complete too.

It is striking, and somewhat unexpected, that the bi-criteria (reliability, period) problem is easier than the (reliability, latency) one. The intuition for this difference is the following: when the period bound is given, we know once and for all which processors are fast enough to be enrolled for a given interval. Therefore, the mapping choices are local. On the contrary, the computation of the latency remains global, and its final value, including communication costs, depends upon the choices that will be made further on.

## 5.4 Integer linear program

In this section, we show how to derive an integer linear program (ILP) to solve the following problem: given an instance with  $n$  tasks and  $p$  homogeneous processors, bounds  $P$  on period and  $L$  on latency, compute the most reliable schedule respecting both bounds. Despite its high computation complexity, this ILP will be used on small problem instances to assess the absolute performance of the heuristics (see Section 8).

The ILP has  $O(n^2 \times p)$  variables: for  $1 \leq i \leq j \leq n$  and  $1 \leq k \leq \min(p, \mathcal{K})$ ,  $a_{i,j,k} = 1$  if the interval  $\tau_i, \dots, \tau_j$  is allocated onto  $k$  processors, and  $a_{i,j,k} = 0$  otherwise. The objective function is the logarithm  $R$  of the reliability, which we want to maximize.

We list below the constraints that need to be enforced.

- Each task  $\tau_i$  is included in exactly one interval:

$$\forall 1 \leq i \leq n, \sum_{1 \leq j \leq i} \sum_{i \leq k \leq n} \sum_{1 \leq \ell \leq p} a_{i,j,\ell} = 1 .$$

- At most  $p$  processors are used:

$$\sum_{1 \leq i \leq j \leq n} \sum_{1 \leq k \leq \mathcal{K}} k \times a_{i,j,k} \leq p .$$

- The latency bound is enforced:

$$\sum_{1 \leq i \leq j \leq n} \sum_{1 \leq k \leq \mathcal{K}} \frac{1}{s} \left( \sum_{i \leq \ell \leq j} w_\ell \right) \times a_{i,j,k} \leq L .$$

- The period bound is enforced:

$$\forall 1 \leq i, j \leq n, \frac{1}{s} \left( \sum_{i \leq \ell \leq j} w_\ell \right) \times \sum_{1 \leq k \leq \mathcal{K}} a_{i,j,k} \leq P ;$$

$$\forall 1 \leq i, j \leq n, o_j \times \sum_{1 \leq k \leq \mathcal{K}} a_{i,j,k} \leq P .$$

Finally, the objective function is to maximize the logarithm of the reliability  $R$ :

$$R = \sum_{1 \leq i \leq j \leq n} \sum_{k=1}^{\mathcal{K}} \log \left( 1 - (1 - \exp^{-\frac{\Delta}{s} \sum_{l=i}^j w_l})^k \right) \times a_{i,j,k} .$$

## 5.5 Allocation of intervals to processors

In this section, we consider that the partition into intervals is given, and we search for the best allocation of these intervals across the processors. This sub-problem is used in particular while designing heuristics in Section 7.

Once the intervals are fixed, since the platform is homogeneous, the period and latency are fixed. The allocation of processors only impacts the reliability. We derive below an optimal algorithm, ALGO-ALLOC, which assigns processors to intervals in order to maximize the reliability. The main idea is to allocate processors one by one to intervals, and the current interval is chosen so as to maximize the reliability.

The algorithm ALGO-ALLOC is the following:

- initially, we allocate one processor on each interval;
- then, while there remains an un-allocated processor and an interval replicated less than  $\mathcal{K}$  times, we allocate a new processor on the interval whose ratio

$$\frac{\text{reliability with one more replica processor}}{\text{current reliability}}$$

is maximal.

We prove below the optimality of this algorithm.

**Theorem 4.** *Given a partition into intervals, Algorithm ALGO-ALLOC maximizes the reliability of the allocation.*

*Proof.* Let  $I_1 \rightarrow \dots \rightarrow I_i$  be the chain of intervals, where  $W_j$  (resp.  $o_j$ ) is the computation cost (resp. communication cost) of interval  $I_j$ , for  $1 \leq j \leq i$ . Moreover, let  $k_j$  be the number of processors allocated to interval  $I_j$  by Algorithm ALGO-ALLOC, and let  $k'_j$  be the number of such processors in an optimal solution.

First, note that if  $i \times \mathcal{K} \leq p$ , ALGO-ALLOC allocates  $\mathcal{K}$  processors per interval, and this is optimal, i.e.,  $\forall 1 \leq j \leq i, k_j = k'_j = \mathcal{K}$ . Otherwise, all processors are allocated in both solutions, since it is always increasing reliability to replicate an interval once more, i.e.,  $\sum_{j=1}^i k_j = \sum_{j=1}^i k'_j = p$ .

Indeed, for  $2 \leq k \leq \mathcal{K}$ , consider the increase in reliability when assigning a  $k$ -th processor to interval  $I_j$ :

$$R_{k,j} = \frac{\text{reliability of } I_j \text{ with } k \text{ processors}}{\text{reliability of } I_j \text{ with } k-1 \text{ processors}}.$$

We obtain  $R_{k,j} = \frac{1-\alpha_j^k}{1-\alpha_j^{k-1}}$ , with  $\alpha_j = 1 - \exp^{-\lambda \frac{W_j}{s}}$ . Note that this value is independent of the allocation of the other intervals. We derive:

$$\begin{aligned} R_{k+1,j} - R_{k,j} &= \frac{(1-\alpha_j^{k+1})(1-\alpha_j^{k-1}) - (1-\alpha_j^k)^2}{(1-\alpha_j^k)(1-\alpha_j^{k-1})} \\ &= \frac{2\alpha_j^k - \alpha_j^{k+1} - \alpha_j^{k-1}}{(1-\alpha_j^k)(1-\alpha_j^{k-1})} \\ &\leq 0. \end{aligned}$$

by convexity of the function  $x \rightarrow \alpha^x$ . The ratio  $R_{k,j}$  is thus decreasing with  $k$ .

Now suppose that there exist two values  $j_1$  and  $j_2$  with  $k_{j_1} < k'_{j_1}$  and  $k_{j_2} > k'_{j_2}$ . To simplify notations, assume that  $j_1 = 1$  and  $j_2 = 2$ , hence  $k_1 < k'_1$  and  $k_2 > k'_2$ . Consider the iteration of ALGO-ALLOC during which the  $(k'_2 + 1)$ -th processor is added to interval  $I_2$ . At that point, there were  $k^* \leq k_1$  processors assigned to  $I_1$ . By construction of ALGO-ALLOC, since interval  $I_2$  is chosen, we have  $R_{k'_2+1,2} \geq R_{k^*+1,1}$ . Also,  $R_{k^*+1,1} \geq R_{k'_1,1}$  because  $k^* \leq k_1 < k'_1$  and  $R_{k,1}$  is decreasing with  $k$ . We thus have  $\frac{R_{k'_2+1,2}}{R_{k'_1,1}} \geq 1$ : but this latter quantity is the variation of the global reliability when reassigning one processor from  $I_1$  to  $I_2$ . Hence the allocation  $(k'_1 - 1, k'_2 + 1, k'_3, \dots, k'_i)$  is at least as reliable as the original optimal allocation  $(k'_1, k'_2, k'_3, \dots, k'_i)$ , and therefore they are both optimal.

After a finite number of such reassignments, we obtain the allocation of ALGO-ALLOC, thereby establishing its optimality.  $\square$

## 6 Complexity results for heterogeneous platforms

In this section, we prove the NP-completeness of the mono-criterion reliability optimization problem on heterogeneous platforms.

**Theorem 5.** *The problem of optimizing the reliability on heterogeneous platforms is NP-complete.*

*Proof.* Consider the associated decision problem: given a heterogeneous platform, a chain of tasks, a bound on the number  $\mathcal{K}$  of replications, and a reliability  $r$ , does there exist a mapping of reliability at least  $r$ ? This problem is obviously in NP: given a reliability and a mapping, it is easy to compute the reliability and to check that it is valid in polynomial time.

To establish the completeness, we use a reduction from 3-PARTITION. Consider the following general instance  $\mathcal{I}_1$  of 3-PARTITION: given  $3n$  numbers  $a_1, \dots, a_{3n}$  and a number  $T$  such that  $\sum_{1 \leq j \leq 3n} a_j = nT$ , does there exist  $n$  independent subsets  $B_1, \dots, B_n$  of  $\{a_1, \dots, a_{3n}\}$  such that for all  $1 \leq i \leq n$ ,  $\sum_{a_j \in B_i} a_j = T$ ?

We build the following instance  $\mathcal{I}_2$  with  $n$  tasks and  $p = 3n$  processors:

- $\lambda = \frac{10^{-8}}{nT^2}$ ;
- $\mathcal{K} = 3$ ;
- $\gamma = 1 + \frac{1}{2(T-1)}$ ;
- $\forall 1 \leq i \leq n, w_i = 1/n$  (all tasks have cost  $1/n$ );
- $r_{u,i} = e^{-\lambda_u \frac{w_i}{s_u}}$ ;
- $r_{comm,i} = 1$ ;
- $\forall 1 \leq u \leq 3n, \lambda_u = \lambda * \gamma^{a_u}$  and  $s_u = 1$ ;
- it follows that the reliability of the mapping is  $r = (1 - \lambda^3 \gamma^T)^n$ .

The size of  $\mathcal{I}_2$  is polynomial in the size of  $\mathcal{I}_1$ . We show that  $\mathcal{I}_1$  has a solution if and only if  $\mathcal{I}_2$  has a solution.

Suppose first that  $\mathcal{I}_1$  has a solution  $B_1, \dots, B_n$ . We propose the following solution for  $\mathcal{I}_2$ :

- we have one interval per task;
- the  $i$ -th task is replicated three times and allocated to the set of processors  $\{P_u \mid u \in B_i\}$ .

We obtain the following reliability for task  $i$ :

$$1 - \prod \left(1 - e^{-\lambda \gamma^{a_i}}\right) \geq 1 - \prod (\lambda \gamma^{a_i}) \geq 1 - \lambda^3 \gamma^T,$$

Hence, the overall reliability is  $r \geq (1 - \lambda^3 \gamma^T)^n$ .

Suppose now that  $\mathcal{I}_2$  has a solution. We first show that the optimal mapping consists of  $n$  intervals, one per task, each replicated three times. Suppose that we know the number of intervals in the optimal mapping. There are at most  $n$  intervals, and we have enough processors to replicate all of them three times, and this increases the reliability. We conclude that all intervals will be replicated three times. Suppose now that one of these intervals contains  $t > 1$  tasks. There are enough processors to split this interval into  $t$  single-task intervals, each replicated three times. Let  $r_1$  be the reliability of the original interval with  $t$  tasks, and  $r_t$  the reliability of the same tasks assigned to  $t$  intervals replicated three times. By hypothesis of optimality, we have:

$$\begin{aligned}
 r_1 &\geq r_t \\
 \Rightarrow e^{-\lambda\gamma t} &\geq 1 - (1 - e^{-\lambda\gamma T})^t \\
 \Rightarrow \lambda\gamma t - \frac{1}{2}(\lambda\gamma t)^2 &\leq (\lambda\gamma T)^t \quad \text{because } \lambda\gamma T \leq 1 \\
 \Rightarrow \lambda\gamma 2 - \frac{1}{2}(\lambda\gamma 2)^2 &\leq (\lambda\gamma 2)^2 \quad \text{because } \gamma^{T-1} \leq 2 \\
 \Rightarrow \lambda\gamma 2 &\leq \frac{3}{2}(\lambda\gamma 2)^2 \\
 \Rightarrow \lambda\gamma 2 &\geq \frac{2}{3} \\
 \Rightarrow 4\lambda &\geq \frac{2}{3}
 \end{aligned}$$

However,  $\lambda \leq 10^{-8}$ , which contradicts the hypothesis. This means that, in the optimal solution, any task constitutes an interval.

Let, for all  $i$ ,  $B_i = \{a_j \mid T_i \text{ mapped on } P_j\}$ . We obtain the following reliability:

$$r = \prod_{1 \leq i \leq n} (1 - \prod_{a_j \in B_i} (1 - e^{-\lambda\gamma^{a_i}})) \geq (1 - \lambda^3\gamma^T)^n.$$

Suppose that, for a value  $i$ ,  $\sum_{a_j \in B_i} a_j \neq T$ . Then,

$$\begin{aligned}
 r &\leq \prod_{1 \leq i \leq n} (1 - \prod_{a_j \in B_i} (\lambda\gamma^{a_i} - \frac{1}{2}(\lambda\gamma^{a_i})^2)) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j} \prod_{a_j \in B_i} (1 - \frac{1}{2}\lambda\gamma^{a_i})) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j} (1 - \frac{\lambda}{2} \sum_{a_j \in B_i} \gamma^{a_j})) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j} (1 - \frac{3\lambda}{2}\gamma^T)) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j} + \frac{3\lambda^4}{2}\gamma^{T+\sum_{a_j \in B_i} a_j}) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j}) (1 + \frac{\frac{3\lambda^4}{2}\gamma^{T+\sum_{a_j \in B_i} a_j}}{1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j}}) \\
 &\leq \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j}) (1 + \frac{\frac{3\lambda^4}{2}\gamma^{4T}}{1 - \lambda^3\gamma^{3T}}) \\
 &\leq (1 + \frac{\frac{3\lambda^4}{2}\gamma^{4T}}{1 - \lambda^3\gamma^{3T}})^n \times \prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j})
 \end{aligned}$$

By hypothesis, we have  $\sum_{a_j \in B_i} a_j \neq T$  for a value  $i$ . Then by convexity,

$$\prod_{1 \leq i \leq n} (1 - \lambda^3\gamma^{\sum_{a_j \in B_i} a_j}) \leq (1 - \lambda^3\gamma^T)^{n-2} \times (1 - \lambda^3\gamma^{T-1}) \times (1 - \lambda^3\gamma^{T+1}).$$



By hypothesis, we have:

$$\begin{aligned}
 (1 - \lambda^3 \gamma^T)^n &\leq r \\
 &\leq \frac{(1 + \frac{3\lambda^4}{2} \gamma^{4T})^n (1 - \lambda^3 \gamma^T)^{n-2}}{(1 - \lambda^3 \gamma^{T-1})(1 - \lambda^3 \gamma^{T+1})} \\
 \Rightarrow (1 - \lambda^3 \gamma^T)^2 &\leq \frac{(1 + \frac{3\lambda^4}{2} \gamma^{4T})^n}{(1 - \lambda^3 \gamma^{T-1})(1 - \lambda^3 \gamma^{T+1})} \\
 &\leq \frac{(1 + \frac{3\lambda^4}{2} \gamma^{4T})^n}{((1 - \lambda^3 \gamma^T)^2 - \lambda^3 \gamma^{T-1}(\gamma - 1)^2)} \\
 \Rightarrow (1 - \lambda^3 \gamma^T)^2 &\geq \left( (1 + \frac{3\lambda^4}{2} \gamma^{4T})^n - 1 \right)^{-1} \\
 &\quad (1 + \frac{3\lambda^4}{2} \gamma^{4T})^n \lambda^3 \gamma^{T-1} (\gamma - 1)^2 \\
 &\geq \frac{1 + \frac{3\lambda^4}{4} n \gamma^{4T}}{\frac{3\lambda^4}{4} n \gamma^{4T}} \lambda^3 \gamma^{T-1} (\gamma - 1)^2 \\
 &\geq \frac{1 + \frac{3\lambda^4}{4} n \gamma^{4T}}{3\lambda n \gamma^{3T+1} (T-1)^2}
 \end{aligned}$$

However,  $3\lambda n \gamma^{3T+1} (T-1)^2 \leq 1$  and  $1 + \frac{3\lambda^4}{4} n \gamma^{4T} \geq 1$ . This contradicts the hypothesis. Then, if  $\{B_1, \dots, B_n\}$  corresponds to a solution of  $\mathcal{I}_2$ , we have  $\sum_{a_j \in B_i} a_j = T$  for  $1 \leq i \leq n$ . This shows that  $B_1, \dots, B_n$  is a solution for  $\mathcal{I}_1$ , which concludes the proof.  $\square$

Because mono-criterion reliability optimization is already NP-complete, all multi-criteria problems with period or latency or both, are also NP-complete on heterogeneous platforms.

## 7 Heuristics

In this section, we present two heuristics to compute schedules for the multi-criteria problem discussed above. Since we consider several criteria, each heuristic algorithm returns, for a given problem instance, several possible schedules. In the experiments of Section 8, both the period and the latency are bounded and, for each instance and each heuristic, we select, in the set of computed solutions, the schedule having the best reliability while still meeting the bounds on period and latency.

Each heuristic consists of two steps: in a first step, the chain of tasks is divided into intervals, and in the second step, the processors are allocated to these intervals. We present the algorithms used in the two steps by the two heuristics.

### 7.1 Computation of the intervals

We consider two possible ways to compute the intervals. In both cases, we first decide the number of intervals used, and then we compute intervals according to this value. We can thus compute a set of intervals for any possible number of intervals.

In the first heuristic, we try to minimize the latency. Thus, for  $i$  intervals, we select the intervals yielding the  $i - 1$  smallest communication costs. More

precisely, for  $i$  intervals, we consider the output communication costs of all tasks except the last one. Let  $u_1 < \dots < u_{i-1}$  be the  $i - 1$  smallest communication costs. Then, the first interval contains tasks  $\tau_1$  to  $\tau_{u_1}$ , the second interval contains tasks  $\tau_{u_1+1}$  to  $\tau_{u_2}$ , and so on; the last interval contains tasks  $\tau_{u_{i-1}+1}$  to  $\tau_n$ . This heuristic, denoted HEUR-L, is presented in Algorithm 3.

In the second heuristic, we try to minimize the period. We thus try to obtain intervals which are as identical as possible in size. We use a dynamic programming algorithm to compute the optimal period in the homogeneous case. More precisely, let  $F(j, k)$  be the optimal period that can be obtained by grouping the  $j$  first tasks into  $k$  intervals. The initialization is  $F(j, 1) = \max\{\sum_{l \leq j} w_l, o_j\}$ , and the recurrence writes:  
 $\forall k \geq 2, \forall j \leq k,$

$$F(j, k) = \min_{1 \leq j' < j} \left\{ \max \left( F(j', k-1), \sum_{j' < l \leq j} w_l, o_j \right) \right\}.$$

This heuristic, denoted HEUR-P, is presented in Algorithm 4.

Both heuristics produce  $\min\{n, p\}$  possible divisions in intervals of the chain of tasks. It remains to allocate processors to these intervals. This is presented in the next section.

## 7.2 Allocation of processors to intervals

As presented in Section 5.5, Algorithm ALGO-ALLOC allocates processors optimally to intervals in the homogeneous case. We use a variant of algorithm ALGO-ALLOC in the general case with a bound  $P$  on the period: at the beginning of the algorithm, in increasing order of value  $\frac{\lambda_u}{s_u}$ , a processor is allocated to the longest possible interval that has no processor allocated to it. Then, step by step, on the remaining processors, processor  $P_u$  is allocated to the interval of greatest value  $\left( \frac{\text{reliability with this processor}}{\text{reliability at current step}} \right)$  among the intervals  $I_j$  such that  $\frac{W_j}{s_u} \leq P$ . This corresponds to algorithm ALGO-ALLOC: we first allocate the more reliable processors, and we do not allocate a processor to an interval if the associated computation time exceed the bound on period.

## 8 Experiments

This section reports experimental results assessing the performance of the heuristics HEUR-P and HEUR-L. In the homogeneous case, these heuristics are compared with the optimal solution computed with the integer linear program presented in Section 5.4. The heuristics are developed in C/C++ and the integer linear program is implemented with CPLEX [8]. The reader can find the corresponding source code at [11].

### 8.1 Experiments on homogeneous platforms

To measure the performance of the HEUR-P and HEUR-L heuristics, we randomly generated workflow applications. For 15 tasks and 10 processors, we

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**Algorithm 3:** Heuristic HEUR-L for the computation of the intervals.

---

**Data:**  $n$  tasks of sizes  $w_i$  and of output communication cost  $o_i$ , a maximal number  $\mathcal{K}$  of replications and a number  $i$  of intervals  
**Result:** a set of intervals  
Sort in array  $A$  the  $n - 1$  first tasks in increasing order of output communication cost;  
Sort the  $i - 1$ th first tasks of  $A$  in increasing order of placement in the chain;  
The first interval contains tasks from  $\tau_1$  to  $\tau_{A[1]}$ ;  
**for**  $j = 2$  **to**  $i - 1$  **do**  
| The  $j$ th interval contains tasks from  $\tau_{A[j-1]+1}$  to  $\tau_{A[j]}$ ;  
**end**  
The last interval contains tasks from  $\tau_{A[i-1]+1}$  to  $\tau_n$ ;

---



---

**Algorithm 4:** Heuristic HEUR-P for the computation of the intervals.

---

**Data:**  $n$  tasks of size  $w_i$  and of output communication cost  $o_i$ , a maximal number  $\mathcal{K}$  of replications and a number  $i$  of intervals  
**Result:** a set of intervals  
**for**  $j = 1$  **to**  $n$  **do**  
|  $F(j, 1) = (\max\{\sum_{l \leq j} w_l, o_j\}, 1)$ ;  
**end**  
**for**  $k = 1$  **to**  $i$  **do**  
| **for**  $j = 1$  **to**  $n$  **do**  
| | Let  $j'$  be the value that minimize the function  
| |  $x \rightarrow \max\{fst(F(x, k-1)), \sum_{x < l \leq j} w_l, o_j\}$ ;  
| |  $F(j, k) = (\max\{fst(F(j', k-1)), \sum_{j' < l \leq j} w_l, o_j\}, j')$ ;  
| **end**  
**end**  
Let  $I$  be an array of size  $i$ ;  
 $j = n$ ;  
 $k = i$ ;  
**while**  $j \geq 1$  **do**  
|  $I[k-1] = j$ ;  
|  $j \leftarrow snd(F(j, k))$ ;  
**end**  
**for**  $j = 1$  **to**  $i - 1$  **do**  
| The  $j$ th interval contains tasks  $\tau_{I[j-1]+1}$  to  $\tau_{I[j]}$ ;  
**end**  
The last interval contains tasks  $\tau_{I[i-1]+1}$  to  $\tau_n$ ;

---

randomly generated values of communication and computation costs for 100 different chains of tasks. Then, for any reasonable bounds on period and latency, we compute, for the integer linear program and for both heuristics, the number of solutions found within these bounds, and the average ratio of the optimal reliability (integer linear program) and the reliability of the heuristics; we count 0 for instances without any solution.

We set the processor speeds to  $s = 1$  computation per time unit, and computation costs of tasks are randomly chosen in the interval  $[1, 100]$ . The bandwidth is set to  $b = 1$ , and communication costs are randomly chosen in the interval  $[1, 10]$ . The failure rate per time unit of processors (resp. communication links) are set to  $\lambda_p = 10^{-6}$  (resp.  $\lambda_\ell = 10^{-5}$ ) per time unit. These values are realistic for modern fail-silent hardware components, where the unit of time is the hour [4].

With these values, 100 problem instances are generated with 10 processors and a chain of 15 tasks. The maximum number of replication is fixed to  $\mathcal{K} = 3$ . The reasonable period values are found between 70 and 140 time units, and latency between 500 and 1000 time units.

In Figure 6, the latency is bounded by 750, and the bound on period is chosen in the interval  $[50, 500]$ . For higher values of period, neither the ILP program nor the heuristics are able to solve the problem instance. The value selected for the bound on the latency corresponds to the minimum value such that approximately half of the instances can be solved when there are no constraints on the period. The HEUR-P heuristic finds solutions for most of the instances which have a solution, except for average values of period ( $100 \leq P \leq 200$ ), and for high values ( $P > 400$ ). Concerning the HEUR-L heuristic, it finds fewer solutions than HEUR-P for low and average values of the period ( $P \leq 400$ ), but it obtains more results than HEUR-P and as many as the ILP program for high values of the period.

To summarize these results, the HEUR-P heuristic obtains good results in most cases, even though it does not consider latency, hence leading to poorer

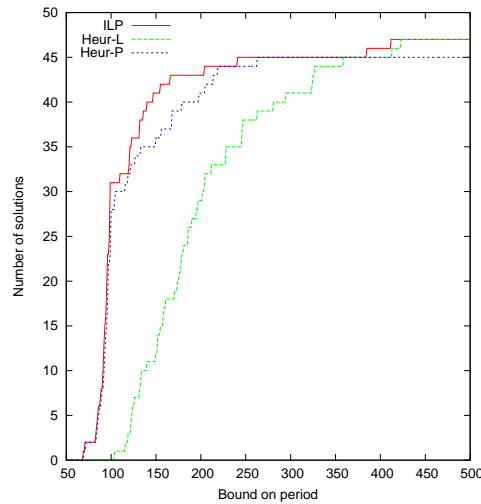
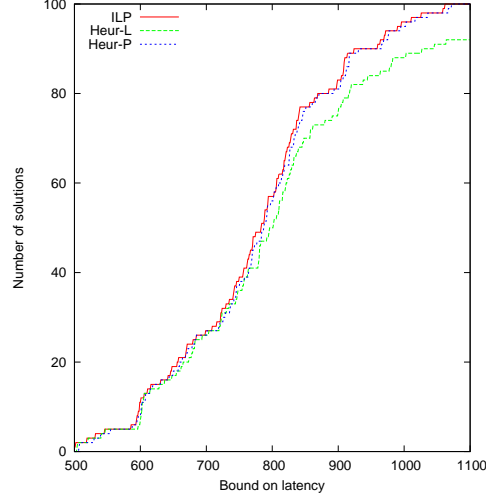
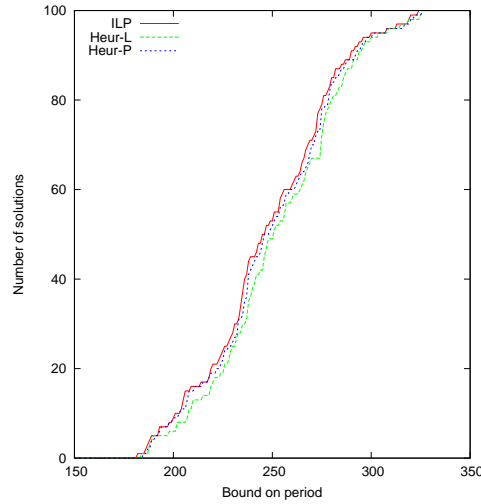


Figure 6: Number of solutions for  $L = 750$ .

Figure 7: Number of solutions for  $P = 250$ .Figure 8: Number of solutions for  $L = 3P$ .

results when the period is not constrained at all. However, the HEUR-L heuristic becomes efficient for high values of the period, since it focuses on the latency criterion. In contrast, HEUR-L seems to be less efficient than HEUR-P, since it obtains fewer results for reasonable problem instances with a bound on the period.

In Figure 7, we bound the period by  $P = 250$ , while the bound on the latency is chosen in the interval  $[500, 1100]$ . The reason is that no solutions are found when either  $L < 500$  or  $L > 1100$ . In this case, almost all existing solutions are found by both heuristics for low values of latency. For higher values of latency, HEUR-P remains efficient, while HEUR-L becomes less efficient. Even without any bound on the latency, HEUR-L fails to find some of the solutions. This

can be explained by the fact that there are more tasks than processors in the instances that we consider (15 tasks and 10 processors). Since HEUR-L does not consider the size of intervals (but only the cost of communications), for some instances, even with the maximum number of intervals (10 with the instances considered), it can happen that an interval is too large and exceeds the bound on the period.

In Figures 6 and 7, we have compared the performances of both heuristics HEUR-L and HEUR-P for any bound on the period with an average fixed latency, and any bound on the latency with an average fixed period. In the last experiment, we consider the case of a linear relationship between the value of period and the value of latency. In Figure 8, the period is taken in interval  $[150, 350]$ , and we fix the latency to be  $L = 3P$ . In this case, almost all solutions are found by both heuristics, whatever the bound on period. Note that in most cases, HEUR-P is slightly more efficient than HEUR-L, which confirms our previous observations.

We have not presented reliability results in this experiment, but we focused on the number of solutions found, given a period and a latency. Indeed, the low number of tasks makes the computation very reliable for any schedule: the reliability is always either very close to one, or equal to zero when no solution is found. In practice, experimental results give a reliability of 1 in type double, which means that the real value of the reliability is equal to 1 with an error of the order of  $10^{-15}$ .

## 8.2 Experiments on heterogeneous platforms

On heterogeneous platforms, we were no longer able to use the ILP to compute the optimal solution. However, we have performed several experiments with a larger number of tasks and processors: we generated one random application with 50 tasks and 75 processors. The processor speeds were randomly chosen in the interval  $[1, 100]$ , while their failure rates per time unit were randomly chosen between  $10^{-6}$  and  $10^{-8}$ .

We have computed the optimal solutions of heuristics HEUR-P and HEUR-L for any reasonable values for the bounds on period and latency: the period was chosen between 50 and 400 time units, while the latency was chosen between 3600 and 5000 time units. Figure 9 plots in 3D the values of reliability computed for such values of period and latency, for both heuristics. For an enhanced readability, Figure 10 details the results obtained by HEUR-P alone, while Figure 11 focuses on HEUR-L alone.

From these figures, we first note that the bound on the latency does not impact the result. However, when the bound on the period is increased, we can find more reliable schedules. Once again, the supremacy of HEUR-P is demonstrated: not only does this heuristic find more solutions than HEUR-L, but it also finds more reliable schedules.

## 9 Conclusion

We have addressed problems related to the mapping of linear chain workflows on homogeneous and heterogeneous distributed platforms. The main goal was to optimize the reliability of the mapping through task replication, while enforcing

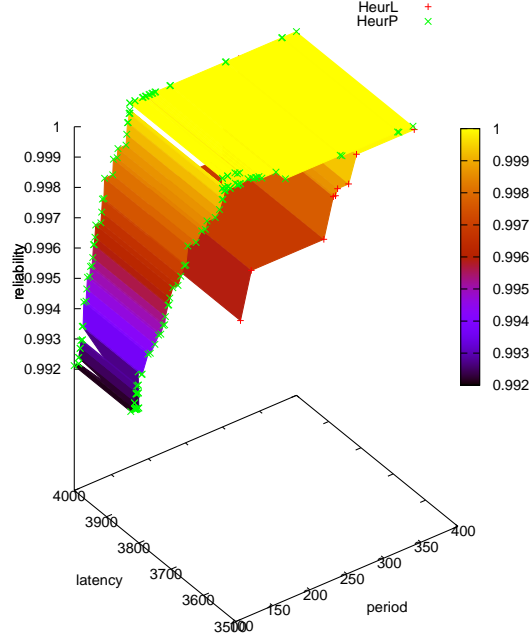


Figure 9: Reliability in the heterogeneous case.

bounds on performance-oriented criteria (period and latency). We derived a comprehensive set of NP-hardness complexity results, together with optimal algorithms for polynomial instances. Altogether, these results provide a solid theoretical foundation for the study of multi-criteria mappings of linear chain workflows. Another contribution of this paper is the introduction of a realistic communication model that nicely accounts for the inherent physical limitations on the communication capabilities of state-of-the-art processors.

Communication failures have been incorporated in the model through routing operations, which guarantee that evaluating the system reliability remains computationally tractable. An interesting future research direction would be to investigate whether it is feasible to remove this routing procedure, and accurately approximate the reliability of general systems (non serial-parallel).

On homogeneous platforms, an integer linear program is presented to solve the problem of maximizing the reliability with bounds on period and on latency, while polynomial-time heuristics are derived for the most general problems. We have proposed two heuristics: HEUR-L that attempts to minimize the latency and HEUR-P that attempts to minimize the period. Our experiments demonstrate the efficiency of the heuristics, and the supremacy of HEUR-P in most cases.

Another direction for future work involves the design of heuristics for even more difficult problems that would mix performance-related criteria (period,

latency) with several other objectives, such as reliability, resource costs, and power consumption.

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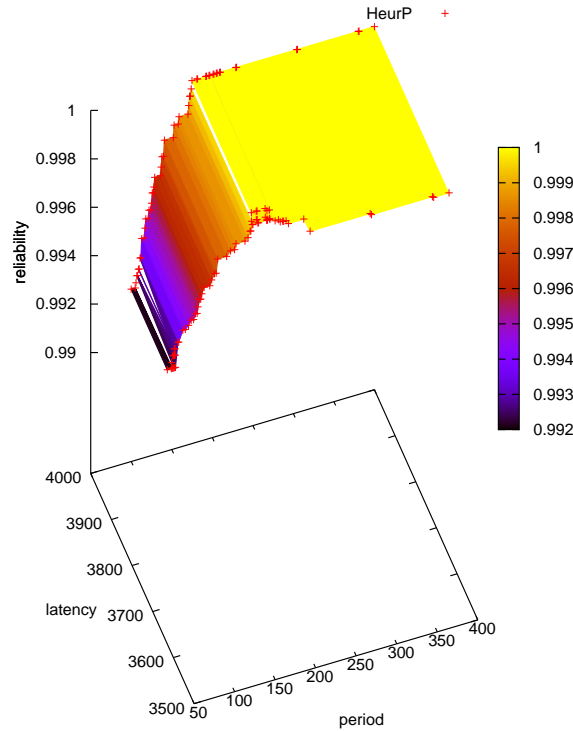


Figure 10: Reliability in the heterogeneous case for HEUR-P.

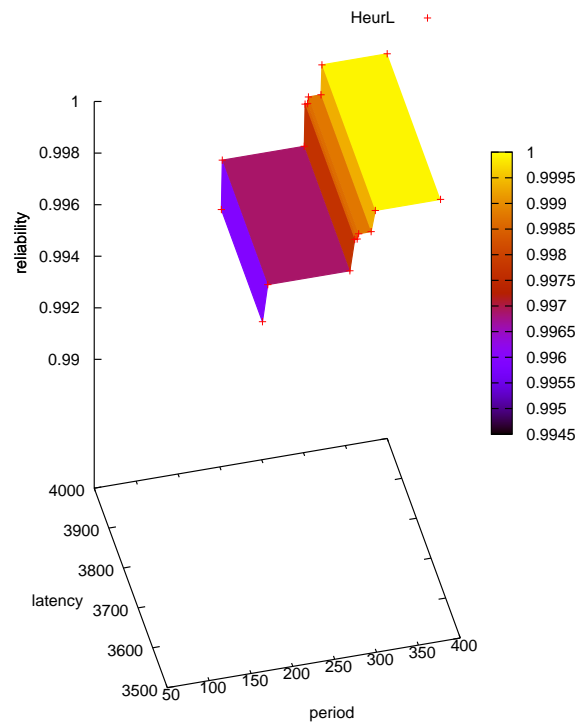


Figure 11: Reliability in the heterogeneous case for HEUR-L.



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